

GAR 2176



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PTO/SB/21 (08-00)

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(to be used for all correspondence after initial filing)

Application Number	09/774,870
Filing Date	January 31, 2001
First Name Inventor	Ralston, Geoffrey D.
Group Art Unit	2176
Examiner Name	

Total Number of Pages in This Submission	1	Attorney Docket Number	017887008100
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	copies of 31 cited references
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.	

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Thomas D. Franklin	
Signature		
Date	9/27/01	

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**PATENT**  
Attorney Docket No.: 17887-008100US  
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On September 27, 2001

TOWNSEND and TOWNSEND and CREW LLP

By: Maria C. Livingston

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Geoffrey D. Ralston et al.

Application No.: 09/774,870

Filed: January 31, 2001

For: PROCESSING OF  
UNSOLICITED BULK  
ELECTRONIC  
COMMUNICATION

Examiner:

Art Unit:

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The references cited on attached form PTO-1449 are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and

Geoffrey D. Ralston et al.  
Application No.:  
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no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Thomas D. Franklin  
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